



## PRIVACY POLICY

The privacy and security of your information is important to us, so we periodically like to remind our clients about the information we collect, and how it is used. Please contact us at any time if you have any questions about this information.

### **What information do we collect?**

When completing account applications and other documents, certain information is needed, including your name, address, social security number, date of birth, account numbers and information about your financial resources and your income. We may also have access to other financial information, including tax returns. This information is often referred to as *nonpublic personal information*.

### **Where do we get this information?**

Often, *nonpublic personal information* is provided by you, though it could come from other sources as well, such as information which may be provided from one brokerage firm to another if you move an account.

### **What information do we disclose, and to whom do we disclose it?**

We do not disclose any *nonpublic personal information* about you without your express consent, except as permitted or required by law, and only as needed to provide the services you have requested. This applies to current as well as former clients. We restrict access to your *nonpublic personal information* to those who need to know that information to provide services to you.

### **What security procedures do we have in place?**

We maintain physical, electronic and procedural safeguards to protect your *nonpublic personal information*, which includes measures to protect your information during its disposal.